

Annex 2 - NSNP-CT Definitions and Rules for the Allocation of Household to Lists

List #	Description	Criteria
1	HHS with Multiple Vulnerabilities	Very poor households that contain members with at least 2 of the criteria specified for Lists 2,3 and 4 below.
2	People with severe disabilities (PWSD)	Very poor households that contain at least one member with a severe disability. Severely disabled means “those who need permanent care including feeding, toiletry, protection from danger from themselves, other persons or from the environment. They also need intensive support on a daily basis which therefore keeps their parents, guardians or caregivers at home or close to them throughout”.
3	Households with an orphan or vulnerable children (OVC)	Very poor households that contain; <ul style="list-style-type: none"> • A child who is a single/double orphan¹¹; • A child living in a child headed household whose carer is aged between 15 and 22 due to orphanhood • A child who is chronically ill or who has a caregiver who is chronically ill¹² (chronic illness in this case is defined as a caregiver or child who was been bedridden for at least the immediate past 3 months and has a terminal illness¹³); and/or • A child who has special needs such as autism, Down’s syndrome, cerebral palsy, loco-motor impairment, maladjusted children, and children with multiple disabilities.
4	Older Persons (OP)	Very poor households that contain at least one member over 65 years.
5	Other Poor and Vulnerable	Other very poor and vulnerable households that may not have any of the specific criteria set out above but have a low LCS score.

¹¹ A single orphan is a child who has lost one parents as a result of death. A double orphan is a child who has lost both parents as a result of death.

¹² Defined as being bedridden or not able to perform normal duties and has been chronically ill at least for the last 3 months.

¹³ That is, AIDS, Tuberculosis, Cancer. This situation must be confirmed by the community.

Process Used to Select HHs for the 5 NSNP CT Lists

Definition Criterion		Registration Questionnaire Data Used	Group Selection process
A	HH has a member with a chronic illness or disability	Q3.10 and Q3.11	If yes to this criteria only → List 2
			If yes to this and any other criteria (excluding F) →List 1
B	HH has a member age 60 years or over	Q3.04	If yes to this criteria only → List 3
			If yes to this and any other criteria (excluding F) →List 1
C	HH child member has a deceased mother or father	Q3.07 and Q3.08	If yes to this criteria only → List 4
			If yes to this and criteria A and/or B →List 1
D	HH has a child member where the HH head and main caregiver is aged 65 years or over; and/or has a chronic illness or disability	Q3.04; 3.09; Q3.10 and Q3.11	If yes to this criteria only → List 4
			If yes to this and criteria A and/or B →List 1
E	HH has a child where the HH head and main care giver is 22 years or under	Q3.04; 3.09;	If yes to this criteria only → List 4
			If yes to this and criteria A and/or B →List 1
F	HH is ranked in poorest 50% of all HHs and has 1 or more children under 18 years	Q3.04 plus LCS score	If yes to this criteria only → List 4
			If yes to this and criteria A and/or B →List 1
G	HH is ranked in the poorest 50% of all HHs but does not meet any of the above criterion	Q3.04; Q3.07; Q3.08 Q3.10 and Q3.11 and LCS score	If yes to this criteria only → List 5

NB all lists should rank HHs according to their LCS score – those with lowest scores will always be prioritised above those with higher scores in the same list.

Annex 3 – Methodology for the Distribution of HSNP Quotas down to Sub-County Level

Stage 1 - County Level HSNP Quotas														
Purpose	Allocation of national HSNP quota to 4 HSNP Counties													
Methodology Used	<p>For HSNP only Use of modified Commission for Revenue Allocation (CRA) formula</p> <table border="1"> <thead> <tr> <th>Factor</th> <th>Percentage Allocation</th> </tr> </thead> <tbody> <tr> <td>1. Size of population</td> <td>45%</td> </tr> <tr> <td>2. A basic equal share for each county</td> <td>25%</td> </tr> <tr> <td>3. Extreme deprivation poverty rate</td> <td>30%</td> </tr> </tbody> </table> <p>Quotas were calculated centrally by NDMA. No change proposed at total quota remains the same</p>		Factor	Percentage Allocation	1. Size of population	45%	2. A basic equal share for each county	25%	3. Extreme deprivation poverty rate	30%				
Factor	Percentage Allocation													
1. Size of population	45%													
2. A basic equal share for each county	25%													
3. Extreme deprivation poverty rate	30%													
Output	<table border="1"> <thead> <tr> <th>County</th> <th>Current HSNP Quota</th> </tr> </thead> <tbody> <tr> <td>Mandera</td> <td>21,876</td> </tr> <tr> <td>Marsabit</td> <td>19,648</td> </tr> <tr> <td>Turkana</td> <td>39,873</td> </tr> <tr> <td>Wajir</td> <td>19,338</td> </tr> <tr> <td>Total</td> <td>100,735</td> </tr> </tbody> </table>	County	Current HSNP Quota	Mandera	21,876	Marsabit	19,648	Turkana	39,873	Wajir	19,338	Total	100,735	
County	Current HSNP Quota													
Mandera	21,876													
Marsabit	19,648													
Turkana	39,873													
Wajir	19,338													
Total	100,735													

Issues for discussion

The overall HSNP quotas have changed slightly from 100,000 – the final quota going forward from 2017 needs to be agreed between GoK and DFID / other donors depending on funds available.